

Lecture 10: "classical" automorphic forms on reductive groups

Gabriel Dospinescu

CNRS, ENS Lyon

What is an automorphic form?

- (I) In the sequel G will be a connected reductive \mathbb{Q} -group, with a fixed maximal compact subgroup K of $G(\mathbb{R})$ and a fixed arithmetic subgroup $\Gamma \subset G(\mathbb{Q})$.

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- (I) In the sequel G will be a connected reductive \mathbb{Q} -group, with a fixed maximal compact subgroup K of $G(\mathbb{R})$ and a fixed arithmetic subgroup $\Gamma \subset G(\mathbb{Q})$.
- (II) The space of automorphic forms of level Γ on G (rel. to K)

$$\mathcal{A}(G, \Gamma) \subset C^\infty(\Gamma \backslash G(\mathbb{R}))$$

consists of those $f \in C^\infty(\Gamma \backslash G(\mathbb{R}))$ such that:

- f is right K -finite, i.e. $\dim \text{Span}_{k \in K} f(\bullet \cdot k) < \infty$.
- f is \mathfrak{Z} -finite (see below)
- f has moderate growth (see below).

3-finiteness

- (I) Recall that the (complex) Lie algebra \mathfrak{g} of G acts on $C^\infty(G(\mathbb{R}))$ by

$$X.f(g) = \lim_{t \rightarrow 0} \frac{f(g \exp(tX)) - f(g)}{t}.$$

Let $U(\mathfrak{g})$ (**enveloping algebra** of \mathfrak{g}) be the sub-algebra of $\text{End}_{\mathbb{C}}(C^\infty(G(\mathbb{R})))$ generated by $f \rightarrow X.f$ for $X \in \mathfrak{g}$.

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- (II) It follows from theorems of Chevalley and Harish-Chandra (cf. next lectures) that the center

$$\mathfrak{Z}(\mathfrak{g}) = Z(U(\mathfrak{g}))$$

of $U(\mathfrak{g})$ is a polynomial algebra in as many generators as the rank of a maximal torus in G .

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of $U(\mathfrak{g})$ is a polynomial algebra in as many generators as the rank of a maximal torus in G .

- (III) A map $f \in C^\infty(G(\mathbb{R}))$ is called **\mathfrak{Z} -finite** if

$$\dim \text{Span}_{X \in \mathfrak{Z}(\mathfrak{g})} X.f < \infty.$$

Moderate growth

- (I) Pick a \mathbb{Q} -embedding $G \subset \mathrm{GL}_n(\mathbb{C})$. We have a natural norm on $\mathrm{GL}_n(\mathbb{R})$, inducing one on $G(\mathbb{R})$

$$\|g\| = \sqrt{\mathrm{Tr}({}^t g g) + 1/\det(g)^2}.$$

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$$\|g\| = \sqrt{\mathrm{Tr}({}^t g g) + 1/\det(g)^2}.$$

- (II) A map $f : G(\mathbb{R}) \rightarrow \mathbb{C}$ has **moderate growth (or MG)** if there are c, N such that

$$|f(g)| \leq c \|g\|^N, \quad \forall g \in G(\mathbb{R}).$$

This notion is independent (exercise!) of the choice of the embedding of G in $\mathrm{GL}_n(\mathbb{C})$.

Applications of harmonicity

- (I) $\mathcal{A}(G, \Gamma)$ is contained in $C^\infty(\Gamma \backslash G(\mathbb{R}))$, but it is not stable under $G(\mathbb{R})$ (because of the K -finiteness condition).

Remarkably, it is stable under the infinitesimal action of $G(\mathbb{R})$, i.e. under \mathfrak{g} . This is not trivial at all because of the MG condition, but, as for $\mathbb{S}\mathbb{L}_2$, this follows from the harmonicity theorem (valid in this degree of generality, cf. next lectures). More precisely:

Theorem (Harish-Chandra) Any $f \in \mathcal{A}(G, \Gamma)$ is real analytic on $G(\mathbb{R})$, satisfies $f = f * \alpha$ for some $\alpha \in C_c^\infty(G(\mathbb{R}))$, and has **uniform moderate growth**: there is N such that for all $X \in U(\mathfrak{g})$ we have

$$\sup_{g \in G(\mathbb{R})} \frac{|X.f(g)|}{\|g\|^N} < \infty.$$

The finiteness theorem

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- (II) Our goal for today is to start the (sketch of the) proof of the following deep and fundamental result, at the basis of the theory:

Theorem (Harish-Chandra's finiteness theorem) For any ideal J of finite codimension in $\mathfrak{Z}(\mathfrak{g})$ the (\mathfrak{g}, K) -module

$$\mathcal{A}(G, \Gamma)[J] = \{f \in \mathcal{A}(G, \Gamma) \mid J.f = 0\}$$

is admissible, i.e. for any $\pi \in \hat{K}$

$$\dim \text{Hom}_K(\pi, \mathcal{A}(G, \Gamma)[J]) < \infty.$$

Déviissage via the split component

- (I) We say that $f \in C^\infty(\Gamma \backslash G(\mathbb{R}))$ has **\mathfrak{J} -type J** if $J.f = 0$ and **K -type $\pi_1, \dots, \pi_r \in \hat{K}$** if

$$\mathbb{C}[K].f \simeq \bigoplus_{i=1}^r \pi_i.$$

The theorem is equivalent to: for any ideal J of finite codimension in $\mathfrak{J}(\mathfrak{g})$ and any π_1, \dots, π_r the space of forms $f \in \mathcal{A}(G, \Gamma)$ of types J and π_1, \dots, π_r is finite dimensional.

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- (II) We will first reduce the theorem to the case $A_G = 1$ (introduced below), then prove it for cuspidal forms (to be defined...) and finally deduce it by a rather subtle inductive argument.

Déviissage via the split component

- (I) Let Z_{spl} be the largest \mathbb{Q} -split torus contained in the centre of G . The **split component** A_G of G is

$$A_G = Z_{\text{spl}}(\mathbb{R})^0.$$

Let $X(G)_{\mathbb{Q}}$ be the set of characters $G \rightarrow \mathbb{G}_m$ defined over \mathbb{Q} . The subgroup

$${}^0G = \{g \in G \mid \chi(g)^2 = 1 \ \forall \chi \in X(G)_{\mathbb{Q}}\}$$

of G is Zariski closed, defined over \mathbb{Q} , normal, thus reductive, though not necessarily connected.

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- (II) For automorphic business all the hard part is in 0G : if G is semi-simple ${}^0G = G$ and $A_G = \{1\}$.

Dévissage via the split component

(I) The objects A_G and $X(G)_{\mathbb{Q}}$ are quite simple:

- the map $X(G)_{\mathbb{Q}} \rightarrow X(Z_{\text{spl}})_{\mathbb{Q}}$ is easily seen to be injective, with finite cokernel. If $k = \text{rk}(Z_{\text{spl}})$, we thus have

$$X(G)_{\mathbb{Q}} \simeq \mathbb{Z}^k, \quad A_G \simeq \mathbb{R}_{>0}^k.$$

- letting $\mathfrak{a}_G = \text{Lie}(A_G)$, the exponential map is an isomorphism $\mathfrak{a}_G \simeq A_G$. If $\log : A_G \rightarrow \mathfrak{a}_G$ is its inverse, then $\chi \rightarrow d\chi(1)$ and $\lambda \rightarrow (a \rightarrow e^{\lambda(\log a)})$ give inverse bijections

$$X(A_G) := \text{Hom}_{\text{gr}}^{\text{cont}}(A_G, \mathbb{R}_{>0}) \simeq \mathfrak{a}_G^*.$$

Moreover, $X(G)_{\mathbb{Q}}$ is a lattice in \mathfrak{a}_G^* via

$$X(G)_{\mathbb{Q}} \otimes \mathbb{R} \simeq X(A_G) \simeq \mathfrak{a}_G^*.$$

Déviissage via the split component

- (I) One checks that $G(\mathbb{R}) = {}^0G(\mathbb{R}) \times A_G$ and that ${}^0G(\mathbb{R})$ contains $G(\mathbb{R})_{\text{der}}$ and any compact subgroup of $G(\mathbb{R})$. The arithmetic subgroup Γ of $G(\mathbb{Q})$ is contained in ${}^0G(\mathbb{R})$ and a lattice in there (Borel, Harish-Chandra theorem).

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- (II) If $f \in C^\infty(\Gamma \backslash G(\mathbb{R}))$ is \mathfrak{Z} -finite, an exercise in PDE shows

$$f(x, a) = \sum_{i=1}^d Q_i(a)(X_i \cdot f)(x), \quad (x, a) \in G(\mathbb{R}) = {}^0 G(\mathbb{R}) \times A_G$$

for some

$$X_i \in \mathfrak{Z}(\mathfrak{g}), \quad Q_i \in \mathbb{C}[\mathfrak{a}_G] \otimes X(A_G).$$

Thus each Q_i is a finite sum of functions of the form $a \rightarrow e^{\lambda(\log a)} P(\log a)$ with $\lambda \in \mathfrak{a}_G^*$ and P a polynomial function on \mathfrak{a}_G .

Déviissage via the split component

- (I) If f is automorphic of types J and $\pi_1, \dots, \pi_r \in \hat{K}$ then $X_i \bullet f$ are automorphic for 0G , of types $\mathfrak{Z}({}^0\mathfrak{g}) \cap J$ and π_1, \dots, π_r . The Q_i are killed by $J \cap U(\mathfrak{a}_G)$, of finite codimension in $U(\mathfrak{a}_G)$. An exercise in analysis shows that the Q_i live in a finite dimensional vector space, reducing the finiteness theorem to the case $A_G = 1$.

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- (II) The next step is to study the cuspidal space, as we did for SL_2 . This requires the fundamental notion of parabolic subgroups. They play a key role in the theory (as it was clear for SL_2), controlling the behavior at ∞ . The theory is however much more involved for general G than for SL_2 ...

Parabolic subgroups

(I) For a Zariski closed subgroup P of G the following statements are (very nontrivially) equivalent, in which case we say that P is a **parabolic subgroup** of G :

- $G(\mathbb{C})/P(\mathbb{C})$ is a compact topological space.
- P contains a Borel subgroup of G .
- there is a morphism of algebraic groups $\lambda : \mathbb{G}_m \rightarrow G$ such that

$$P = P(\lambda) := \{g \in G \mid \lim_{t \rightarrow 0} \lambda(t)g\lambda(t)^{-1} \text{ exists in } \mathbb{C}\}.$$

Parabolic subgroups

- (I) If $G = \mathrm{GL}_n(\mathbb{C})$, the last description implies that parabolic subgroups are the stabilisers of flags

$$\{0\} = V_0 \subset V_1 \subset \dots \subset V_s = \mathbb{C}^n,$$

i.e. block upper triangular matrices in which the diagonal blocks have sizes n_1, \dots, n_s , with $n_i = \dim V_i/V_{i-1}$ satisfying $n = n_1 + \dots + n_s$.

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- (II) G has no proper (i.e. different from G) \mathbb{Q} -parabolic if and only if G_{der} is \mathbb{Q} -anisotropic, in which case the automorphic life is not hard: if moreover $A_G = 1$ (i.e. G is \mathbb{Q} -anisotropic), then all automorphic forms are bounded since $\Gamma \backslash G(\mathbb{R})$ is compact, and the finiteness theorem is a (relatively) simple consequence of Godement's lemma.

Constant term, cusp forms

- (I) Let P be a \mathbb{Q} -parabolic of G , with unipotent radical N . Then $N(\mathbb{R}) \cap \Gamma$ is a co-compact lattice in $N(\mathbb{R})$ (exercise!) and we get a map, **constant term along P**

$$C(\Gamma \backslash G(\mathbb{R})) \rightarrow C(N(\mathbb{R}) \backslash G(\mathbb{R})), f \rightarrow f_P,$$

$$f_P(g) = \int_{N(\mathbb{R}) \cap \Gamma \backslash N(\mathbb{R})} f(ng) dn, \quad g \in G(\mathbb{R}),$$

where dn is the Haar measure on $N(\mathbb{R})$ giving $N(\mathbb{R}) \cap \Gamma \backslash N(\mathbb{R})$ mass 1.

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where dn is the Haar measure on $N(\mathbb{R})$ giving $N(\mathbb{R}) \cap \Gamma \backslash N(\mathbb{R})$ mass 1.

- (II) Say f is **cuspidal or cusp form** if $f_P = 0$ for any **proper** \mathbb{Q} -parabolic P . If G_{der} is \mathbb{Q} -anisotropic, then any f is trivially cuspidal!

Constant term, cusp forms

- (I) Simple exercises show that if $f_P = 0$ for a proper \mathbb{Q} -parabolic P , then $f_Q = 0$ for any \mathbb{Q} -parabolic $Q \subset P$. Also, for $\gamma \in \Gamma$ we have $f_{\gamma^{-1}P\gamma}(g) = f_P(\gamma g)$.

Constant term, cusp forms

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- (II) The next deep theorem shows that there are only finitely many such vanishing conditions to check.

Theorem (Borel, Harish-Chandra) There are only finitely many \mathbb{Q} -parabolics of G up to Γ -conjugacy.

This has several serious inputs: a theorem of Borel-Tits ensuring that up to $G(\mathbb{Q})$ -conjugacy there are only finitely many \mathbb{Q} -parabolics in G , a theorem of Chevalley ensuring that the normaliser of a parabolic is the parabolic itself, and reduction theory (Borel-HC) which ensures that for any \mathbb{Q} -parabolic P the set $\Gamma \backslash G(\mathbb{Q})/P(\mathbb{Q})$ is finite (this set classifies the $G(\mathbb{Q})$ -conjugates of P up to Γ -conjugacy).

The GPS theorem

- (I) We can now state two fundamental theorems. **We assume that $A_G = 1$ for both, so $\text{vol}(\Gamma \backslash G(\mathbb{R})) < \infty$.**

Theorem (Gelfand, Piatetski-Shapiro) a) Any $f \in \mathcal{A}(G, \Gamma)_{\text{cusp}}$ is bounded, thus

$$\mathcal{A}(G, \Gamma)_{\text{cusp}} \subset L^2(\Gamma \backslash G(\mathbb{R}))_{\text{cusp}}.$$

b) For any $\alpha \in C_c^\infty(G(\mathbb{R}))$ there is $c > 0$ such that

$$\|f * \alpha\|_\infty \leq c \|f\|_{L^2}, \quad \forall f \in L^2(\Gamma \backslash G(\mathbb{R}))_{\text{cusp}}.$$

The operator $f \rightarrow f * \alpha$ on $L^2(\Gamma \backslash G(\mathbb{R}))_{\text{cusp}}$ is Hilbert-Schmidt and $L^2(\Gamma \backslash G(\mathbb{R}))_{\text{cusp}}$ has a discrete decomposition.

The weak finiteness theorem

- (I) See the end of the lecture for a sketch of the very technical proof. We deduce now a weak form of the finiteness theorem: the space

$$X = \mathcal{A}(G, \Gamma)_{\text{cusp}}[J, \pi_1, \dots, \pi_r]$$

of **cuspidal forms** of \mathfrak{J} -type J and K -type π_1, \dots, π_r is finite dimensional. By Godement's lemma and the GPS theorem above it suffices to show that X is closed in $L^2(\Gamma \backslash G(\mathbb{R}))$, which, as for SL_2 , is highly nontrivial. Say $f_n \in X$ tend to $f \in L^2(\Gamma \backslash G(\mathbb{R}))$. Simple applications of Cauchy-Schwarz show that f is of K -type π_1, \dots, π_r .

The weak finiteness theorem

- (I) Next, as for SL_2 we interpret f as a distribution on $G(\mathbb{R})$ and we show that this distribution is killed by $\mathfrak{Z}(\mathfrak{g})$. The key point is that $U(\mathfrak{g})$ has an anti-automorphism $D \rightarrow \check{D}$ such that $\check{D} = -D$ for $D \in \mathfrak{g}$ and for $f \in C^\infty(G(\mathbb{R}))$ and $\varphi \in C_c^\infty(G(\mathbb{R}))$

$$\int_{G(\mathbb{R})} (D.f)(g)\varphi(g)dg = \int_{G(\mathbb{R})} (\check{D}.\varphi)(g)f(g)dg.$$

We win by Cauchy-Schwarz: $f \rightarrow \int_{G(\mathbb{R})} \check{D}.\varphi(g)f(g)dg$ is continuous for the L^2 norm as $\check{D}.\varphi \in C_c(G(\mathbb{R}))$.

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- (II) Now the distribution f is \mathfrak{Z} -finite and K -finite, thus by elliptic regularity f is real analytic (up to a set of measure 0) and killed by J .

The weak finiteness theorem

- (I) At this moment we can invoke harmonicity to get the existence of $\alpha \in C_c^\infty(G(\mathbb{R}))$ with $f = f * \alpha$. Since Γ is a lattice in $G(\mathbb{R})$, we have $f \in L^1(\Gamma \backslash G(\mathbb{R}))$ and we get that f has moderate growth via the next theorem, whose proof identical to the case $\mathbb{S}\mathbb{L}_2$ (the subtle counting lemma used there is actually useless since Γ is arithmetic):

Theorem (first fundamental estimate) There is N such that for any $\alpha \in C_c^\infty(G)$

$$\sup_{f \in L^1(\Gamma \backslash G(\mathbb{R})) \setminus \{0\}, x \in G(\mathbb{R})} \frac{|(f * \alpha)(x)|}{\|x\|^N} < \infty.$$

The weak finiteness theorem

- (I) Finally, we need to prove that f is cuspidal. Pick a \mathbb{Q} -parabolic P , with unipotent radical N . Since f_P is left $N(\mathbb{R})$ -invariant, it suffices to check that for any $\varphi \in C_c(N(\mathbb{R}) \backslash G(\mathbb{R}))$ we have

$$\int_{N(\mathbb{R}) \backslash G(\mathbb{R})} \varphi(g) f_P(g) = 0.$$

Unfolding (using that f is left Γ -invariant) gives

$$\begin{aligned} \int_{N(\mathbb{R}) \backslash G(\mathbb{R})} \varphi(g) f_P(g) &= \int_{N(\mathbb{R}) \cap \Gamma \backslash G(\mathbb{R})} \varphi(g) f(g) dg \\ &= \int_{\Gamma \backslash G(\mathbb{R})} f(g) H(g) dg, \text{ where } H(g) = \sum_{\gamma \in N(\mathbb{R}) \cap \Gamma} \varphi(\gamma g). \end{aligned}$$

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- (II) But one checks that H is bounded (easy exercise), so $f \rightarrow \int_{\Gamma \backslash G(\mathbb{R})} f(g) H(g) dg$ is continuous for the L^2 norm and $f_P = 0$.

Iwasawa and Langlands decompositions

- (I) In order to properly discuss the GPS theorem we need serious and quite technical background. Let P be any \mathbb{Q} -parabolic of G , with unipotent radical N . Then the **Levi quotient** $L_P = P/N$ of P is a connected reductive \mathbb{Q} -group (P is connected by a fundamental theorem of Chevalley).

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- (II) One can find a **\mathbb{Q} -Levi subgroup** L of P , i.e. such that $L \rightarrow P \rightarrow L_P$ is an isomorphism (equivalently $LN = P$ is a semi-direct product). Indeed, there is $\lambda \in \text{Hom}(\mathbb{G}_m, G)_{\mathbb{Q}}$ such that $P = P(\lambda)$, and then one checks that the centraliser of the image of λ is a \mathbb{Q} -Levi subgroup.

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- (III) Pick such a \mathbb{Q} -Levi subgroup $L \subset P$. Since $P = LN$ is a semi-direct product, we have

$$P(\mathbb{R}) = L(\mathbb{R})N(\mathbb{R}) = N(\mathbb{R})L(\mathbb{R}), \quad L(\mathbb{R}) \simeq L_P(\mathbb{R}) \simeq^0 L_P(\mathbb{R}) \times A_{L_P}.$$

Iwasawa and Langlands decompositions

- (I) The maximal compact K of $G(\mathbb{R})$ is the fixed-point subgroup of a Cartan involution θ of $G(\mathbb{R})$. One shows that there are unique subgroups A_P, M_P of $P(\mathbb{R})$ which are conjugates of $A_{L_P}, {}^0L_P(\mathbb{R})$ and which are θ -stable.

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(II) We obtain the **Langlands decomposition of P**

$$P(\mathbb{R}) = N(\mathbb{R})A_P M_P = N(\mathbb{R})M_P A_P$$

and the **Iwasawa decomposition**

$$G(\mathbb{R}) = P(\mathbb{R})K = N(\mathbb{R})M_P A_P K.$$

In the last decomposition the A_P -component of $g \in G(\mathbb{R})$ is uniquely determined and denoted $a(g) \in A_P$.

Relative root system

- (I) **From now on we fix a minimal \mathbb{Q} -parabolic P in G , with unipotent radical N .** By Borel-Tits, all such P are conjugate under $G(\mathbb{Q})$, and the set of \mathbb{Q} -parabolics containing P is both finite and a set of representatives for the $G(\mathbb{Q})$ -conjugacy classes of \mathbb{Q} -parabolics of G .

Relative root system

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- (II) Let S be a maximal \mathbb{Q} -split torus of G contained in P and let
- $$A = S(\mathbb{R})^0, \mathfrak{a} = \text{Lie}(A), X(A) := \text{Hom}_{\text{gr}}^{\text{cont}}(A, \mathbb{R}_{>0}) \simeq \mathfrak{a}^*.$$
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(III) Then $L = Z_G(S)$ is a \mathbb{Q} -Levi subgroup of P and the adjoint representation of S in \mathfrak{g} gives rise to a decomposition

$$\mathfrak{g} = \text{Lie}(L) \oplus \bigoplus_{a \in \Phi} \mathfrak{g}_a$$

for some finite set $\Phi \in X(S) \setminus \{0\} \subset X(A) \setminus \{0\}$.

Relative root system

- (I) The set Φ is called the **relative root system of G with respect to S** because of the deep:

Theorem (Borel-Tits) Φ is a root system in the vector space $X(A/A_G) \subset X(A)$, and there is a system of positive roots $\Phi^+ \subset \Phi$ such that

$$\mathfrak{n} := \text{Lie}(N) = \sum_{a \in \Phi^+} \mathfrak{g}_a.$$

Caution: contrary to the "absolute" theory, the \mathfrak{g}_a are not necessarily 1-dimensional!

Siegel sets and reduction theory

- (I) Recall the Langlands decomposition $P(\mathbb{R}) = N(\mathbb{R})M_P A_P$, with A_P a suitable conjugate of A , stable under the Cartan involution of G attached to K . For $t > 0$ let

$$A_{P,t} = \{a \in A_P \mid \alpha(a) \geq t \forall \alpha \in \Phi^+\}.$$

If $\Delta = \{\alpha_1, \dots, \alpha_l\}$ is the basis of Φ^+ , it is equivalent to ask that $\alpha_i(a) \geq t$ for all i . If $A_G = 1$, the α_i form a basis of \mathfrak{a}^* .

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- (II) A **Siegel set at P** is a set of the form

$$\Sigma = \omega A_{P,t} K$$

with $t > 0$ and $\omega \subset N(\mathbb{R})M_P$ a compact set.

Siegel sets and reduction theory

- (I) The next result is a vast (and very hard) generalisation of reduction theory for SL_n , seen last time:

Theorem (Borel, Harish-Chandra) There is a Siegel set Σ at P and a finite set $C \subset G(\mathbb{Q})$ such that $\Omega = C\Sigma$ is a good approximation of $\Gamma \backslash G(\mathbb{R})$: $G(\mathbb{R}) = \Gamma\Omega$ and there are only finitely many $\gamma \in \Gamma$ such that $\gamma\Omega \cap \Omega \neq \emptyset$.

Upshot: $\Gamma \backslash G(\mathbb{R})$ is covered by finitely many Siegel sets Σ_i , at representatives for the Γ -conjugacy classes of minimal \mathbb{Q} -parabolics of G .

Growth on Siegel sets

- (I) **From now on we assume that $A_G = 1$ and we fix Siegel sets Σ_i at various minimal parabolics P_i , covering $\Gamma \backslash G(\mathbb{R})$.** Recall that the A_P -component $a(g)$ of any $g \in G(\mathbb{R}) = N(\mathbb{R})M_P A_P K$ is well-defined.

Theorem (Harish-Chandra) A map $f \in C(\Gamma \backslash G(\mathbb{R}))$ has moderate growth if and only if there are $\lambda_i \in X(A_{P_i})$ and $c_i > 0$ such that

$$|f(x)| \leq c_i \lambda_i(a(x)), \quad x \in \Sigma_i.$$

Growth on Siegel sets

(I) To prove this one needs to establish three things ($P = P_i$ for some i):

- for any $\lambda \in X(A_P)$ there are c, N such that $\lambda(a) \leq c\|a\|^N$ for $a \in A_{P,t}$.

- there are $c > 0$ and $\lambda \in X(A_P)$ such that $\|a\| \leq c\lambda(a)$ for $a \in A_{P,t}$.

- there is $c > 0$ such that for all $g \in \Sigma_i$ and $\gamma \in \Gamma$

$$\|\gamma g\| \geq c\|g\|.$$

The first two are relatively easy exercises, the last one is not easy (but it's a great exercise for $\mathrm{GL}_n!$).

Growth on Siegel sets

- (I) For simplicity let's assume that $C = \{1\}$, i.e. we have one Siegel set Σ at our minimal \mathbb{Q} -parabolic P covering $\Gamma \backslash G(\mathbb{R})$. For $\lambda \in X(A_P)$ let $\Gamma_\infty = \Gamma \cap N(\mathbb{R})$ and

$$\|f\|_\lambda = \sup_{x \in \Sigma} |f(x)| \lambda(x),$$

$$C^\infty(\lambda) = \{f \in C^\infty(\Gamma_\infty \backslash G(\mathbb{R})) \mid \|D.f\|_\lambda < \infty \ \forall D \in U(\mathfrak{g})\}.$$

Endow $C^\infty(\lambda)$ with the semi-norms $f \rightarrow \|D.f\|_\lambda$ for $D \in U(\mathfrak{g})$.

By the above theorem, any automorphic form is in some $C^\infty(\lambda)$, since it has **uniform** moderate growth.

Second fundamental estimate

- (I) The following theorem, really the heart of the story, allows one to "travel" between various $C^\infty(\lambda)$ using constant terms along maximal \mathbb{Q} -parabolic subgroups of G . It is a vast generalisation of the second fundamental estimate for SL_2 .

Second fundamental estimate

- (I) The following theorem, really the heart of the story, allows one to "travel" between various $C^\infty(\lambda)$ using constant terms along maximal \mathbb{Q} -parabolic subgroups of G . It is a vast generalisation of the second fundamental estimate for SL_2 .
- (II) The maximal \mathbb{Q} -parabolics P_1, \dots, P_l containing P are indexed by elements of $\Delta = \{\alpha_1, \dots, \alpha_l\}$ and we have $P_i = N_i Z_G(S)$, with N_i normal in N , more precisely,

$$N_i = \exp(\mathfrak{n}_i), \quad \mathfrak{n}_i = \sum_{\beta \in \Phi^+ \setminus \mathrm{Span}(\Delta \setminus \{\alpha_i\})} \mathfrak{g}_\beta.$$

Second fundamental estimate

(I) Consider the operator

$$\pi_i : C^\infty(\Gamma_\infty \backslash G(\mathbb{R})) \rightarrow C^\infty(\Gamma_\infty \backslash G(\mathbb{R})), \pi_i(f) = f_{P_i}.$$

Theorem (Harish-Chandra) For $\lambda \in X(A_P)$, $\lambda' \in \lambda + \mathbb{R}\alpha_i$, $f \rightarrow f - \pi_i(f)$ induces a continuous operator

$$1 - \pi_i : C^\infty(\lambda) \rightarrow C^\infty(\lambda').$$

The proof is quite similar to the case SL_2 , the difficulty being that $N_i(\mathbb{R})$ is not always abelian. But we can filter $N_i(\mathbb{R})$ by subgroups N_i^j normalised by A_P , with successive quotients $N_i^{j-1} \backslash N_i^j \simeq \mathbb{R}$ and A_P acts on these quotients by characters β_j such that $\beta_j(a) \geq c_j \alpha_i(a)$ for $a \in A_{P,t}$ (for some $c_j > 0$). Also, $\Gamma_\infty \cap N_i^j$ is a co-compact lattice in N_i^j . We are then reduced to Fourier analysis on $\mathbb{Z} \backslash \mathbb{R}$, as for SL_2 .

Second fundamental estimate

- (I) Iterating, we deduce that $\prod_{i=1}^l (1 - \pi_i) : C^\infty(\lambda) \rightarrow C^\infty(\lambda')$ is a continuous operator for any λ and λ' (since our assumption that $A_G = \{1\}$ ensures that the simple roots α_i span $X(A_P) \simeq \mathfrak{a}^*$).

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- (II) **But** this operator is simply the identity on cusp forms, thus

$$\mathcal{A}(G, \Gamma)_{\text{cusp}} \subset C^\infty(\lambda')$$

for any $\lambda' \in X(A_P)$, i.e. cusp forms are rapidly decreasing, in particular bounded on the Siegel set Σ and thus bounded on $G(\mathbb{R}) = \Gamma\Sigma$ (recall that we're assuming $C = \{1\}$). This proves the first part of the GPS theorem.

Second part of GPS

(I) Let's prove now:

Theorem (Gelfand, Piatetski-Shapiro) For any $\alpha \in C_c^\infty(G(\mathbb{R}))$ there is $c > 0$ such that

$$\|f * \alpha\|_\infty \leq c \|f\|_{L^2}, \quad \forall f \in L^2_{\text{cusp}}(\Gamma \backslash G(\mathbb{R})).$$

The operator $f \rightarrow f * \alpha$ on $L^2_{\text{cusp}}(\Gamma \backslash G(\mathbb{R}))$ is Hilbert-Schmidt.

The first part implies the second one by the same (slightly subtle due to the issue of the measurability of the kernel) argument as for $\mathbb{S}\mathbb{L}_2$: it implies that $f \rightarrow f * \alpha$ is a kernel operator, the kernel being square integrable.

Second part of GPS

(I) Set $\varphi = f * \alpha$, then for any proper \mathbb{Q} -parabolic P we have

$$\varphi_P = f_P * \alpha = 0$$

since f is cuspidal. Thus φ is cuspidal as well. Moreover, by the first fundamental estimate we have for all $D \in U(\mathfrak{g})$ (and a suitable N , independent of D and f)

$$|D\varphi(x)| = |f * (D.\alpha)(x)| \leq c_D \|x\|^N \|f\|_{L^1} \leq c'_D \|x\|^N \|f\|_{L^2},$$

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the last one by Cauchy-Schwarz (introducing an absolute constant depending on Γ, G).

- (II) Thus there is $\lambda \in X(A_P)$ such that $\varphi \in C^\infty(\lambda)$. Since φ is cuspidal and the inclusion $C^\infty(\lambda)_{\text{cusp}} \rightarrow C^\infty(0)$ is continuous, we immediately get the result thanks to the above estimate.

Second part of GPS

- (I) At this point we "proved" the finiteness theorem for the cuspidal part. Next time we'll bootstrap this to the whole automorphic space, by a rather subtle inductive argument.